

OC000000007764259

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

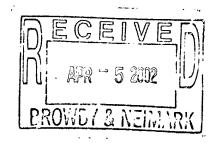
10/092,947

03/08/2002

Anne Mette Wolff

WOLFF=3

BROWDY AND NEIMARK, P.L.L.C. 624 Ninth Street, N.W. Washington, DC 20001



CONFIRMATION NO. 7383

FORMALITIES LETTER

Date Mailed: 04/02/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/06/2002 AUSMAN1 00000056 10092947

01 FC:201 02 FC:203 03 FC:205

370.00 OP 927.00 DP 65.00 OP FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$1094.
 - **\$954** for **106** total claims over 20.
 - \$140 for multiple dependent claim surcharge.
- The oath or declaration is missing.
 - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1529.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S.

Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Atty Docket: WO

In re Application of: WOLFF, et al. Serial No.: 10/092,947

Filing Date: March 8, 2002

For: RECOMBINANT DIMORPHIC FUNGAL CELL

Application Division ATTN: BOX MISSING PARTS

Washington, D.C. Confirmation No. 7383

AU6 0 2 2002

August 2, 2002 WOLFF3

LATE SUBMISSION OF FILING FEE AND/OR DECLARATION FILED UNDER 37 CFR 1.53(b)

HON. COMMISSIONER OF PATENTS -Washington, D.C. 20231 Sir:

The present communication is in response to the "NOTICE TO FILE MISSING NONPROVISIONAL APPLICATION..." dated April 2, 2002.

Attached hereto is an executed oath or declaration in compliance with 37 C.F.R. 1.63, identifying the present application by title, filing date, serial number and priority [xx]

Attached hereto is an executed oath or declaration in compliance with 37 C.F.R. 1.63, identifying the present application by title, serial number, filing date and priority information, and to which is attached a duplicate copy of the application as filed. Applicant claims small entity status. See 37 CFR 1.27. [xx]

Pxxl

Response to "Sequence Listing" Requirement and computer readable disk.

[xx] Preliminary Amendment.

A certified copy of Danish priority document no. PA 2001 00395, filed 8 March 2001, is also [xx] attached. Priority is claimed. [

An Information Disclosure Statement with 08A-1449 and ___ references is also attached.

The following fee is calculated below:

202	(Col. 1)	(Col. 2) NO. EXTRA	SMALL ENTITY		T	OTHER THAN SMALL ENTITY	
FOR:	NO. FILED		RATE	FEE	OR	RATE	FEE
BASIC FEE				\$ 370.00	OR		\$740.00
TOTAL CLAIMS	123 - 20	1	X 9=	\$ 927.00	+	X 18=	\$740.00
INDEP. CLAIMS	2 - 3	 	X 42 =	\$	-	X 84 =	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED			+ 135 =	\$	╂─	+ 280 =	\$
f the difference in Col. 1 is less than 0, enter "0" in Col. 2			TOTAL	\$1,297.00	+	TOTAL	- 3

Surcharge for late filing of English translation \$ 130.00

Late filing of Declaration surcharge in the amount of: [XX]

Small Entity [xx] \$65.00 Other than Small Entity [] \$130.00

It is hereby petitioned for an extension of time in accordance with 37 C.F.R. 1.136(a). [xx] The appropriate fee required by 37 C.F.R. 1.17 is calculated as shown below: Small Entity Other Than Small Entity

Response Filed Within

First - \$ 55.00 Second - \$ 200.00 [] First [xx] Third - \$ 460.00 - \$ 720.00 Fourth Month After Time Period Set Response Filed Within] First - \$ 110.00 Second - \$ 400.00 Third ſ 7 - \$ 920.00 Fourth - \$1,440.00 Month After Time Period Set

[XX] Conditional Petition for Extension of Time:

If any extension of time for a response is required, applicant requests that this be considered a petition therefor. Check No.

in the amount of \$ is enclosed to cover the above fees. [xx]

Credit Card Payment Form, PTO-2038, authorizing payment in the amount of \$1,562.00 is enclosed to cover the fees. [XX]

The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR 1.16 and all patent processing fees under 37 CFR 1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees under 37 CFR 1.18.

08/06/2002 ADSMAN1 00000056 10092947

04 FC:216

200.00 OP

BROWDY AND NEIMBRK, P.L.L.C. Attorneys for Applicant(s)

Iver P. Cooper

Registration No. 28,005

IPC:edg